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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unassigned  
Art Unit: 2841

In Re PATENT APPLICATION Of:

Applicant : Shinji OHUCHI et al.

Applic. No. : 09/843,650 /

Filed : April 27, 2001

For SEMICONDUCTOR APPARATUS  
APPARATUS AND METHOD  
FOR FABRICATING THE SAME

Attorney Ref. : IIZ 123

)  
) **RESPONSE TO NOTICE TO**  
) **FILE CORRECTED**  
) **APPLICATION PAPERS**  
)  
)  
)  
)

February 1, 2002

**Attention: Initial Patent Examination Division**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to a Notice to File Corrected Application Papers, submitted herewith are the following documents:

Item Number

Content

- 1) A copy of the Abstract of the Disclosure (which was also provided on October 25, 2001 as part of applicant's response to Notice of Incomplete Reply dated September 26, 2001);
- 2) Copy of originally filed Fig. 2 of the application;
- 3) Copy of Fig. 12F as originally filed, marked in Red to show proposed correction in numbering of the figure;

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FREE ENCLOSED: \$  
Please charge any further  
fee to our Deposit Account  
No. 18-0002

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- 4) Corrected Formal Drawing for Fig. 12F
- 5) Pages 19-22 of the specification as originally filed;
- 6) Copy of date-stamped post card receipt listing the items of the application as filed on April 27, 2001.
- 7) Copy of text of Japanese Application JP -2001 313349 filed April 28, 2000 (priority application incorporated by reference in present application) with portions corresponding to the pages 19-22 of the present application marked as such;
- 8) Translation of text of Japanese Application JP -2001 313349 corresponding to pages 19-22 of the present application; and
- 9) Copy of Figure of Japanese Application JP -2001 313349 corresponding to Fig. 2 of the present application.
- 10) Copy of the Notice to File Corrected Application Papers.

Should any fee be required, please charge the same to our Deposit Account No. 18-0002 and advise us accordingly.

Respectfully submitted,



Steven M. Rabin - Reg. No. 29,102  
RABIN & BERDO, P.C.  
Telephone: 202-659-1915  
Telefax: 202-659-1898  
CUSTOMER NO. 23995

February 1, 2002  
Date

SMR:pjl



ITEM NO. 6

RECEIPT NO. 8681

DATE:

April 27, 2001

ATTY. DOCKET:

IIZ 123

APPLICANT(S): S. OHUCHI et al.

SERIAL NO.: To Be Assigned

FILED: Herewith

FOR: SEMICONDUCTOR APPARATUS AND METHOD FOR FABRICATING THE SAME

PAPERS FILED:

- 1) NEW PATENT APPLICATION TRANSMITTAL
- 2) FEE DETERMINATION RECORD (IN DUPLICATE)
- 3) SPECIFICATION/CLAIMS/ABSTRACT (31 PAGES)
- 4) 23 SHEETS OF DRAWINGS (FIGS. 1-20C)

FEE: \$ 000.00

(CHECK NO.: \_\_\_\_\_)



**PLEASE DATE STAMP AND RETURN  
EARLY NOTIFICATION OF SERIAL NUMBER REQUESTED**

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DATE:

April 27, 2001

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IIZ 123

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,650	04/27/2001	Shinji Ohuchi	IIZ 123

CONFIRMATION NO. 7849

## FORMALITIES LETTER



\*OC00000007154200\*

RABIN & CHAMPAGNE, P.C.  
Steven M. Rabin  
SUITE 500  
1101 14th STREET  
Washington, DC 20005

Date Mailed: 12/04/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

The following item(s) appear to have been **omitted** from the application:

- Page(s) **19-22** of the specification (description and claims).
- Figure(s) **2 & 12F** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

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III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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